# Climate warming causes intensification of the hydrological cycle, resulting in changes to the vernal and autumnal windows in a northern temperate forest

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# Abstract:

Climate warming is likely to lead to complex effects on northern forests of the temperate forest biome. We investigated whether rising temperatures altered the timing of snowmelt and snowpack accumulation or extended the forest growing season length in the Turkey Lakes Watershed in central Ontario. Archived satellite imagery was used to track changes in timing of snowpack loss/gain and canopy leaf on/off; the periods between these events were defined as the vernal (spring) and autumnal (fall) windows. We found only a slight extension of the growing season into the autumn period and no increase in the width of the vernal or autumnal windows, indicating that forest growth is not responding significantly to temperature increases during these windows. Archived time series of temperature, precipitation and discharge data for a nested set of catchments ranging in size from headwater (<10 ha) to regional ( $10^3$  ha) catchments were used to track changes in the magnitude, timing and partitioning of precipitation into evapotranspiration and discharge. We found an intensification of hydrological cycling, with (1) a higher dryness index (PET/P) during the summer growing season and (2) earlier spring snowmelt discharges, and later more concentrated autumn storm discharges during the shoulder seasons. This intensification of the hydrological cycle during the summer growth season and the vernal and autumnal windows may not only limit opportunities for enhanced forest growth, but may be contributing to the recent observations of forest decline within this biome. Copyright © 2015 John Wiley & Sons, Ltd.

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### INTRODUCTION

Evidence of global scale climate warming is now overwhelming (Jansen and Overpeck, 2007), and most forecasts predict increasing temperatures and associated changes to the hydrological cycle resulting in increased frequency of extreme weather events (Katz and Brown, 1992; Beniston *et al.*, 2007; Capell *et al.*, 2014). In forests, photosynthesis, carbon and water cycling are interrelated, as  $CO_2$  uptake and evaporative water loss are inherently linked through stomata. Forest water-use efficiency, which measures the amount of carbon fixed per unit of water lost (Cowan and Farquhar, 1977), is relatively constant in northern forests (e.g. Brummer *et al.*, 2012). However, the response of mid-to-high latitude forest processes to climate change is expected to

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be complex, as forest growth and associated water use are functions of many different drivers, including photoperiod, light, energy, water and nutrient availability (Boisvenue and Running, 2006), and climate warming may disrupt existing relationships among these drivers.

Northern forests may respond to different cues as the climate changes (Bauerle *et al.*, 2012; Rustad *et al.*, 2012). Climate warming may extend the growing season of forests if temperature cues regulate the timing of canopy development, but only if tree phenology is mostly responsive to spring and autumn temperatures, rather than photoperiod cues and chilling requirements (Zhang *et al.*, 2007; Morisette *et al.*, 2008; Way, 2011b; Bauerle *et al.*, 2012). In temperate forest biomes, forest carbon uptake capacity is more tightly correlated with day length than temperature (Bauerle *et al.*, 2012), suggesting that climate warming will not extend the period of forest carbon uptake in a simplistic manner (Stoy *et al.*, 2014). In contrast, the forest hydrological cycle responds to temperature, although the hydrological response of

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northern forests to climate warming is variable across different forest types (Jones et al., 2012). For example, annual water yields from coniferous and deciduous forests seem less resilient to climate warming than mixed forests (Creed et al., 2014). Furthermore, climate warming, or climate driven warming of the global land surface and lower atmosphere, may result in intensification of the global hydrological cycle, with a warmer atmosphere able to absorb more water (i.e. higher evapotranspiration) and transport this water elsewhere, resulting in changes to water yields (Durack et al., 2012), with wet areas becoming wetter and dry areas becoming drier, relative to global means (Chou et al., 2009). This hydrological intensification may lead to more frequent and extreme hydrological events, such as storms, floods and droughts. The different drivers of physiological and hydrological processes in forests are likely to lead to fundamental changes in these ecosystems, especially if the seasonal timing of these drivers responds differently to climate change.

Declines in annual water yields have been observed in temperate forest biomes (e.g. Mengistu et al., 2013). However, more information is needed about the timing and magnitude of this decline, providing insight into the potential for hydrological extremes, i.e. floods and droughts (Lehner et al., 2006), changes in biogeochemical nutrient export, consequences for downstream productivity and diversity of aquatic ecosystems (Mengistu et al., 2013). In particular, data are needed on hydrological changes during the critical shoulder seasons of forest growth in deciduous forests, the vernal and autumnal periods, when the majority of water flows off forest landscapes. Grime (1994) coined the term 'vernal window' in reference to the period of high irradiance on the forest floor under an open canopy, which causes a surge in productivity of the understory in the spring. Tockner et al. (2010) extended this concept to discuss a vernal and autumnal window of 'ecological opportunity', albeit in aquatic ecosystems, where they observed a spike in invertebrate and phytoplankton growth in riverine floodplains. There is a need to consider the hydrological controls on these windows of ecological opportunities; for example, if hydrological flows decline in magnitude and shift their timing, this may lead to feedbacks resulting in fundamental ecological changes on the forest landscape (e.g. Hu et al., 2010).

The purpose of this paper was to explore the effects of climate warming on the hydrology and ecology of the northern limits of the temperate forest biome, particularly focusing on hydrological changes within the vernal and autumnal windows. We defined the vernal window as the time period between the end of snowpack and beginning of canopy leaf flush in spring, and the autumnal window as the time period between the end of canopy leaf fall and start of snowpack in autumn. We hypothesized that climate warming is leading to a temperature-driven widening of both the vernal and autumnal windows, and that an intensification of the hydrological cycle during these windows limits opportunities for forest growth. We tested these hypotheses by tracking changes in the partitioning of water flows into the atmosphere (evapotranspiration) or aquatic ecosystems (discharge) and changes in the timing of forest canopy and snowpack presence on a temperate forest landscape, and pose the following questions:

- 1. Is the timing of forest growth in a temperature-limited northern forest expanding into the vernal and autumnal windows as the climate warms?
- 2. Are changes in the hydrological cycle leading to an earlier end to snowmelt and later onset of autumn storms during the vernal and autumnal windows, respectively?
- 3. Are changes in phenological and hydrological processes affected by climate warming equally, or has recent warming induced a mismatch, whereby forest leaf emergence and tree water availability are uncoupled from their previous relationship?

We posed these questions for a temperate forest of the Great Lakes – St Lawrence River forest region over an almost 30-year warming trajectory and discuss potential implications of this climate change on the primary productivity of both terrestrial and aquatic ecosystems on forested landscapes.

# STUDY AREA

The Batchawana River Watershed (1280 km<sup>2</sup>) drains into Batchawana Bay on the eastern shoreline of Lake Superior in central Ontario, Canada. The Turkey Lakes Watershed (TLW) is a 10.5 km<sup>2</sup> subwatershed that drains into the lower reaches of Batchawana River through Norberg Creek (Figure 1). The TLW is a temperate forest in one of the largest areas of forested landscapes remaining in the Laurentian Great Lakes basin. The forest is an old growth stand of shade-tolerant hardwood species dominated by sugar maple.

Within the TLW, the climate is continental with precipitation driven by lake effect precipitation from Lake Superior and local orographic effects in areas of high relief, particularly Batchawana Mountain (664 m), one of the highest elevation peaks in Ontario, Canada. From 1981 to 2009, the average annual (calendar year) precipitation was 1195 mm and average annual temperature was 4.6 °C. The frost-free period in the TLW normally extends from May to September, and the



Figure 1. Study area map of the Turkey Lakes Watershed located within the Batchawana River Watershed in central Ontario

majority of precipitation falls from August to December as rain and snow. A snowpack persists from late November or early December through late March or early April, and peak stream discharge occurs during spring snowmelt and again during autumn storms. The overall relief is 400 m (664 to 264 m). Bedrock is Precambrian silicate greenstone formed from metamorphosed basalt, with small outcrops of felsic igneous rock (Semkin and Jeffries, 1983). Overlying the bedrock is a thin and discontinuous till, ranging in depth from <1 m at higher elevations (with infrequent surface exposure of bedrock) to 1-2m at lower elevations, although till deposits of up to 65 m occasionally occur in bedrock depressions (Elliot, 1985). The till is double-layered, comprising a thin permeable (saturated hydraulic conductivity of  $10^{-3}$  cm/s) sandy loam ablation till overlying a thicker, less permeable (saturated hydraulic conductivity of  $10^{-5}$  cm/s) silt loam basal till (Craig and Johnston, 1988). The podzolic soils that have developed in the till follow a generalized sequence of thin and undifferentiated near the ridge, gradually thickening, differentiating and increasing in organic content on topographic benches and towards the stream (Creed *et al.*, 2002). Highly humified organic deposits occur in wetlands (Wickware and Cowell, 1985).

The TLW has been monitored by federal government agencies since 1980 to assess the potential impacts of acid rain and climate change on terrestrial and aquatic ecosystems (*cf.* Jeffries *et al.*, 1988). This study focuses on changes in hydrological cycling estimated from a nested set of catchments, including headwater catchments representative of the extremes in percentage of wetlands within the watershed (c38 and c35), and a series of catchments flowing through a chain of lakes to the outflow of the TLW at Norberg Creek (s0 to s5). We used data collected during the 27-year period from 1983 to 2009 as the basis of our analyses.

The chain of catchments ranged from small headwater systems (c38 and c35) through a nested chain of lake outflows (s0 to s5) with catchment areas ranging from  $10^{0}$  to  $10^{3}$  ha. Slopes ranged from  $10^{\circ}$  to  $20^{\circ}$ , and aspect was variable, ranging from N–NE–E to W–SW. The percentage of wetlands had the greatest variability in headwater systems and ranged from 1.1% to 20.5%, while the

percentage of wetlands and lakes in catchments downstream contributing to lake outflows showed less variability and ranged from 13.9% to 20.0% (Table I).

#### **METHODS**

#### Characterizing headwater and regional catchments

Digital terrain analysis using a 5m digital elevation model from Light Detection and Ranging (LiDAR) data was used to extract catchments from weir locations and to derive topographic features (cf. Creed and Beall, 2009), with a vertical accuracy of 0.15 m in open canopy and 0.30 m under closed canopy. Pits and depression were filled (Planchon and Darboux, 2001), and catchment and contributing areas were determined using the D8 algorithm based on a grid map from weir coordinates (O'Callaghan and Mark, 1984; Jenson and Domingue, 1988). Specific contributing areas and stream lengths were corroborated using field observations of drainage networks. Wetlands were identified using a probabilistic approach (Lindsay and Creed, 2005). Monte Carlo simulations were used to determine the probability of a depression, and a critical threshold of 0.30 (selected by comparison with ground surveyed surface saturated areas, Creed and Beall, 2009) was used with different elevation error terms until a stable solution was identified.

#### Vernal and autumnal windows

The timing of vernal and autumnal windows was determined using satellite-based estimates of the end of snowpack and start of leaf greening in spring as well as leaf senescence and the start of snowpack formation in autumn from 1983 to 2009. For canopy phenology, we used the Normalized Difference Vegetation Index (NDVI), which is the normalized ratio between surface reflectance in the red and near-infrared portions of the

Table I. Properties of catchments in the Turkey Lakes Watershed (c38 and c35), as well as a series of streams flowing through a chain of lakes to the outflow of the Turkey Lakes Watershed at Norberg Creek (s0–s5)

Code	Area (ha)	Mean slope (°)	Mean aspect	Elevation at outflow (m)	Percent wetlands or lakes
c38	6.5	13.5	NE	404.1	20.5
c35	4.0	19.2	W	381.4	1.1
s0	89.1	11.9	NE	495.9	20.0
s1	203.6	12.8	Е	446.9	13.9
s2	358.1	12.7	SW	378.0	14.2
s3	507.6	10.0	Ν	370.5	16.3
s4	810.4	11.2	Ν	367.7	19.5
s5	1049.6	15.3	SW	338.3	15.9

electromagnetic spectrum useful for detecting vegetation phenological changes by characterizing the intensity of photosynthetic activity (Rouse et al., 1973). We recognized that the NDVI detects changes in colour associated with leaf senescence rather than litter fall in deciduous forests (Hwang et al., 2014), and therefore assumed that the timespan between changes in leaf colour and leaf fall are constant with climate warming. Annual growing season length was determined using 8-km grid NDVI data from the Global Inventory Modeling and Mapping Studies (GIMMS) NDVI3g data (Zhu et al., 2013) derived from Advanced Very High Resolution Radiometer (AVHRR) satellite data (provided bimonthly). These data were corrected for sensor calibration, view geometry, volcanic aerosols and other effects not related to vegetation change (Tucker et al., 2005; Carroll et al., 2006). A difference logistic function (Fisher et al., 2006) was used to fit the time series of NDVI data (y) each year as a function of day of year (DOY; *t*) (Figure 2):

$$y(t) = \left(\frac{1}{1 + e^{a + bt}} - \frac{1}{1 + e^{a^{\prime} + b^{\prime}t}}\right) c + d \qquad (1)$$

where the fitted parameters a and b describe the greenup period, a' and b' for the senescence period, d is the minimum NDVI value, and c is the difference between maximum and minimum NDVI values. The beginning and end of the growing season for each year were defined as the inflexion points of the difference logistic function. These inflexion points have been widely used with remote sensing data to find the steepest points during vegetation transition periods (e.g. Zhang et al., 2004). We used good values in the quality flag (1 or 2) from the original data, which were further processed with a simple two-step filtering method (refer to Hwang et al., 2011a; 2011b for details about filtering and non-linear fitting processes of NDVI data). Deciduous tree phenology derived from GIMMS NDVI by Hwang et al. (2014) showed comparable patterns in time with moderate resolution imaging spectroradiometer (MODIS)-derived and plot-observed data with consistent offsets in southern Appalachians. We applied the same technique for this study in the TLW.

For snowpack duration, we used 25-km scale binary snow cover data from National Aeronautics and Space Administration's Northern Hemisphere EASE-Grid 2.0 Weekly Snow Cover and Sea Ice Extent dataset (version 4), which is derived from AVHRR, Geostationary Operational Environmental Satellite and other visibleband meteorological satellite data (Brodzik and Armstrong, 2013). The same difference logistic function (Fisher *et al.*, 2006) was used to fit weekly snow cover grid data for each year (Figure 2). Snowpack duration and



Figure 2. Time series of (A) bimonthly GIMMS3g Normalized Difference Vegetation Index (NDVI) values (green symbols) and fitted logistic functions (dashed lines) and (B) binary weekly snowpack data at the study site during the period from 1982 to 2009. Vertical lines represent the inflexion points of the difference logistic function, used as greenup and senescence timing in this study

the timing of snowpack disappearance in spring and appearance in autumn for each year were defined as the inflexion points of the difference logistic function. Sitebased data were used as a cross-reference, although ground-truthed 'snow off' data were more precise than 'snow on' data (R.G. Semkin, Environment Canada; Unpublished data; Data not shown). All non-linear regressions were performed using iterative least squares estimation with the *nlinfit* function in MATLAB [The Mathworks Inc., Torrance, CA, USA, which uses the Levenburg–Marquardt algorithm (Marquardt, 1963)].

### Intensification of hydrological cycle

The intensification of hydrological cycling was investigated using estimates of precipitation partitioning between evapotranspiration (ET) versus discharge (Q), and the timing and duration of water flows during spring melt and autumn storms during the time series from 1983 to 2009. Daily meteorological data were obtained from the Canadian Air and Precipitation Monitoring Network meteorological station located just outside the TLW (latitude 47°02°06°N; longitude 84°22°52°W). Daily discharge data for two headwater catchments (c38 and c35) were collected from sampling stations in the TLW (data from F.D. Beall, Great Lakes Forestry Centre (GLFC), Sault Ste. Marie, Ontario, Canada). Daily discharge data for catchments draining through the headwater chain of lakes to the TLW outlet at Norberg Creek were downloaded from the Water Survey of Canada's HYDAT database (Environment Canada, 2014).

We examined these data for trends in annual, monthly and daily time series. For *annual* data, we applied a simple linear regression model to detect significant annual trends in temperature (T), precipitation (P) and O. For monthly data, we examined trends in T and P, and used the Budyko (1974) dryness index (the ratio of potential evapotranspiration to precipitation, PET/P) and evaporative index [(P-Q)/P] to explore changes in hydrologic dynamics within the forested catchments. We were unable to account for storage at the monthly scale, so we call it the 'evaporative-storage' index. We calculated PET from mean monthly T values using the method of Hamon (1963). While the Hamon (1963) method may underestimate PET, it performs better than other T-based PET models and about the same as radiation-based PET models (Lu et al., 2005). We then applied a Seasonal Trend decomposition using Loess (STL) algorithm (Cleveland et al., 1990) to determine the monthly trends for P and T for the TLW, and the monthly trends for PET/P and (P-Q)/P for each of the study catchments. STL filters time series data into long-term (i.e. interannual), monthly and residual components using Loess smoothing, which allowed for robust estimates of monthly trends without distortion from long-term trends and atypical data behaviour (Cleveland et al., 1990).

For *daily* data, to analyse long-term trends in precipitation and streamflow timing, we partitioned each year into two six-month periods to account for the two periods during which the majority of discharge flows from the landscape: the first dominated by snowmelt (January to June) and the second by late summer/early fall rains (July to December). For each period, the 50th percentiles of cumulative precipitation and stream discharge were calculated to estimate centre of mass, the 10th, 20th and 25th percentiles were calculated to characterize changes to the beginning of the two hydrographs, and the 75th, 80th and 90th percentiles were calculated to characterize changes at the end of the two hydrographs. Changes in the day of year when these percentiles were attained for each period over the years showed whether the timing of water flux was changing (i.e. shifting to earlier or later dates). For each period, the number of days over which a specific range in percentiles of water flux occurred was also calculated (i.e. 10th to 90th, 20th to 80th, or 25th to 75th percentiles). Changes in the number of days over which the majority of precipitation or discharge occurred showed whether the shape of the hydrograph was changing (i.e. sharpening or flattening). Trends in precipitation and discharge timing were analysed for each catchment using Mann-Kendall and Theil-Sen analysis for monotonic trends in hydrological data (Sen, 1968; Yue et al., 2002) using the 'zyp' and 'Kendall' packages in R (v.3.0.2). Autocorrelation in hydrological data was corrected for using the Zhang prewhitening method (Zhang et al., 2000), where significant trends were detected and removed from the time series, autocorrelation computed, and this process was repeated until differences in estimates of slope and the auto-regressive model were less than 1% in two consecutive iterations. The Mann-Kendall test for trends was then used on the resultant hydrological data, and the Theil-Sen test was used to calculate the slope of the trend (Sen, 1968), and the intercepts were calculated as the median of the intercepts. We used a value of P < 0.05 to detect significant trends and P < 0.1 to suggest trends. Outliers were removed using Cook's Distance (D) measurements, where outliers were identified as  $D \ge 4/N$  where N is the number of observations (N=27 from 1983 to 2009).

# RESULTS

# Evidence of widening of vernal or autumnal windows

Satellite-based evidence showing the changes in the vernal or autumnal windows is presented in Figure 2. The spring end of snowpack ranged from calendar day of year 92 (April 2) to year 124 (May 3) and the autumn start of snowpack ranged from calendar day of year 304 (October 31) to year 345 (December 11) (Figure 3). Despite this broad range in dates, no significant trends in snow off or snow on dates were found over the time series (P > 0.1). The spring start of canopy greening ranged from calendar day of year 117 (April 27) to year 137 (May 17), and the autumn end of canopy senescence ranged from calendar day of year 268 (September 25) to year 302 (October 28). No significant trends in the timing of canopy greening were found over the time series, while growing season length significantly increased during the same period, mostly driven by delayed timing of canopy senescence (P < 0.05). The vernal window, defined as the period from the disappearance of the snowpack to the development of the forest canopy ranged from 8 to 37 days, and the autumnal window from canopy senescence to snowpack appearance



Figure 3. (A) Time series of yearly timing of snow cover (purple bars), canopy leaf cover (green bars) and vernal and autumnal windows (spaces between) in the Turkey Lakes Watershed and (B) time series of the length in days of growing season (green circles), snowpack (purple circles) and vernal (dark green) and autumnal (orange) windows. DOY, day of year

ranged from 3 to 62 days. Despite the extension in growing season length and associated shift in the autumnal window, there was no statistically significant widening (or narrowing) of the windows over the time series based on remote sensing data (Figure 3). However, it is worthwhile to note that the vernal window shows a nonsignificant increase over the time series, while the autumnal window shows a nonsignificant decrease.

# Evidence for intensification of hydrological cycle

# a) Annual changes

There was a significant increase in mean annual air temperature (calendar year) of  $0.6 \,^{\circ}$ C per decade (P=0.02). This warming was related to changes in hydrological cycles, as evidenced by declines in total annual precipitation at a rate of 82.2 mm per decade (P=0.03) and total annual discharge at a rate of 109.6 mm per decade (P<0.01) (Figure 4).



Figure 4. Annual time series of precipitation (green bars) and temperature (orange circles) collected from the Canadian Air and Precipitation Monitoring Network's meteorological station located just outside of the Turkey Lakes Watershed and discharge (blue circles) from the s5 weir that drains the Turkey Lakes Watershed at Norberg Creek

#### b) Seasonal changes

No change was found in the seasonality of temperature, suggesting that the warming we observe at the annual scale is occurring in all months, but a change in seasonality was found in precipitation, particularly in the autumn (Figure 5). This late season change in precipitation was characterized by decreasing precipitation in August and September and increasing precipitation in October over the time series (Figure 5).

With respect to the dryness and evaporative-storage indices, PET/P decreased (i.e. relative to a given amount of P, PET decreased and sites became wetter) over the time series in all months except for May, June, July and August, when it increased (i.e. relative to a given amount of P, PET increased and sites became drier) (Figure 6). In spring, (P-Q)/P decreased (i.e. relatively more Q) in March and April over the time series, but increased (i.e. relatively less Q) in May over the time series, suggesting a shift in snow storage and a shift to earlier snowmelts (Figure 6). The signal may have been intensifying downstream; namely,



Figure 5. Monthly time series of temperature and precipitation from 1983 to 2009 with inter-annual trends removed

there was a weak signal in headwater catchments that appeared to strengthen downstream (Figure 6). The weaker signal in headwater catchments may have been due to the fact that these headwater catchments showed different responses depending on the proportion of wetland features. The headwater catchment with substantial surface water storage potential (c38, with 20.5% wetland) showed an increase in (P–Q)/P during the spring snowmelt period over the time series. In contrast, the headwater catchment with minimal water storage potential (c35, with only 1.1% wetland) showed a decrease in (P–Q)/P during the spring snowmelt period over the time series (Figure 6). In autumn, (P–Q)/P increased (i.e. less Q) in September and October, but not in November or December, with this signal also appearing to intensify downstream over the time series (Figure 6).

#### c) Daily changes

Two potential changes were examined during the two major discharge periods captured in the January-to-June and the July-to-December periods: (1) a shift in the timing of water flux to earlier (left) or later (right) in the year, as indicated by either a negative or positive, respectively, Theil-Sen Slope for the day-of-year of different percentiles (10th, 20th, 25th, 50th, 75th, 80th and 90th) over the observed period and (2) a change in the duration of discharge reflecting either a flattening or peaking of water flux as indicated by a positive or negative, respectively, Theil-Sen slope relationship between the number of days of different percentile ranges (10th to 90th, 20th to 80th and 25th to 75th) and year. Details of the statistical results indicating which changes in timing and duration were significant in the headwater catchments are provided in Table II, with the pattern of changes in P in Table III and for Q in the headwater and downstream catchments in Table IV. In general, we observed similar patterns when analysing data with and without outliers identified through Cook's Distance analysis.

For the spring and fall frequency distributions of daily precipitation that fell from January to June and July to December (Table IIA), significant trends in the timing of the 20th and 25th percentiles of spring precipitation were observed using Mann–Kendall tests; however, the median slopes as calculated by Theil–Sen analysis were zero in both cases. The trends of these differences are summarized in Table III. We observed a significant change in the duration (i.e. number of days) of the 20th to 80th percentile range during the autumn, which shrank by 1.8 days over the course of the 27 years we investigated.

For the spring frequency distribution of daily discharge that fell during the period from January to June (Table IIB, Table IV), there were significant shifts in the timing of percentiles to an earlier day of year (i.e. left arrows) indicating shifts to an earlier end of spring discharge. For I. F. CREED ET AL.



Figure 6. Monthly time series from 1983 to 2009 of dryness index [potential evapotranspiration (PET)/precipitation (P)] from the meteorological station and evaporative-storage index [(P-Q)/P] from catchments of the Turkey Lakes Watershed (c38 and c35) and a series of streams flowing through a chain of lakes to the outflow of the Turkey Lakes Watershed (s0–s5) with the inter-annual trends removed

#### CLIMATE WARMING CAUSES HYDROLOGICAL INTENSIFICATION IN FORESTS

Table II. Results of Mann–Kendall and Theil–Sen analysis on frequency distributions of spring and autumn precipitation, c38 discharge and c35 discharge from 1983 to 2009 showing shifts in the timing of the 10th, 20th, 25th, 50th, 75th, 80th and 90th percentiles and changes in duration in the ranges of the 10th to 90th, 20th to 80th and 25th to 75th percentiles. Positive Theil–Sen slope  $\Delta$ /Year values indicate shifts to later in the year (timing) and increases in range over time (duration), and negative Theil–Sen slope  $\Delta$ /Year values indicate shifts to earlier in the year (timing) and decreases in range over time (duration). Bolded rows indicate P < 0.1 with Theil–Sen slopes greater than zero

#### 2A: Precipitation

		Timing of prec	ipitation			
Percentile	Theil–Sen	Theil–Sen	Mann–Kendall	Mann–Kendall	Auto-	Intercep
	Slope $\Delta$ per decade (days)	Slope (days)	τ	Р	correlation	t
10	0.00	0.00	0.198	0.211	-0.349	19.000
20	0.00	0.00	-0.350	0.031	-0.340	37.000
25	0.00	0.00	0.365	0.015	-0.074	46.000
50	0.00	0.00	0.245	0.109	-0.240	91.000
75	0.00	0.00	0.133	0.407	0.115	136.000
80	0.00	0.00	-0.056	0.727	-0.046	144.500
90	0.00	0.00	-0.105	0.499	0.071	163.000
		Autumn				
10	0.00	0.00	0.227	0.166	-0.170	201.000
20	0.00	0.00	0.239	0.127	0.225	219.000
25	0.00	0.00	-0.044	0.803	0.037	228.000
50	0.00	0.00	0.064	0.696	-0.084	273.000
75	0.00	0.00	0.028	0.885	-0.217	318.000
80	0.00	0.00	-0.255	0.170	-0.056	327.000
90	0.00	0.00	0.137	0.490	0.000	345.000
		Duration of Pre	cipitation			
		Spring				
Percentile Range	Theil–Sen Slope $\Delta$ per decade	Theil–Sen Slope	Mann–Kendall	Mann–Kendall	Auto-correlatio	Intercept
	(days)	(days)	τ	Р	n	
10–90	0.00	0.00	-0.083	0.611	0.071	144.000
20-80	0.00	0.00	0.112	0.495	-0.174	108.000
25–75	0.00	0.00	0.036	0.853	-0.260	90.000
		Autumn				
10–90	0.00	0.00	-0.090	0.619	-0.185	144.000
20-80	-0.67	-1.80	-0.297	0.064	0.110	109.200
25–75	0.00	0.00	0.249	0.127	0.131	90.000

2B: c38 and c35 discharge

# Timing of c38 Discharge

		Spring				
Percentile	Theil–Sen	Theil-Sen	Mann–Kendall	Mann–Kendall	Auto-	Interce
	Slope $\Delta$ per decade (days)	Slope (days)	τ	Р	correlation	pt
10	-1.00	-27.00	-0.366	0.009	-0.195	94.000
20	-1.91	-5.14	-0.132	0.355	-0.042	100.191
25	-1.77	-4.77	-0.089	0.537	0.026	104.706
50	-2.22	-6.00	-0.028	0.860	0.392	113.667
75	-3.08	-8.31	-0.065	0.659	0.384	123.462
80	-3.33	-9.00	-0.132	0.355	0.043	128.000
90	-4.62	-12.46	-0.163	0.252	-0.227	143.000
		Autum	ı			
10	8.57	23.14	0.139	0.332	0.198	255.000
20	8.75	23.63	0.203	0.152	-0.212	269.625
25	7.14	19.29	0.182	0.201	-0.218	274.714
50	4.62	12.46	0.194	0.172	-0.048	296.231
75	5.71	15.43	0.372	0.008	-0.283	321.429
80	6.43	17.36	0.342	0.015	-0.270	321.214

(Continues)

Table II. (Continued)

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2B: c38 and c35 discharge

90	4.67	12.60	0.335	0.017	-0.310	333.400
		Duration of c38	Discharge			
		Spring	3			
Percentile Range	Theil–Sen	Theil-Sen	Mann–Kendall	Mann–Kendall	Auto-	Interce
	Slope $\Delta$ per decade (days)	Slope (days)	τ	Р	correlation	pt
10-90	8.57	23.14	0.286	0.043	-0.419	44.857
20-80	0.00	0.00	0.040	0.791	-0.325	26.000
25–75	-1.25	-3.38	-0.099	0.494	-0.317	19.625
		Autum	n			
10–90	-6.25	-16.88	-0.071	0.628	0.084	88.500
20-80	0.00	0.00	-0.095	0.509	-0.204	52.000
25–75	0.11	3.00	-0.028	0.860	-0.186	40.111
		Timing of c35	Discharge			
Dercentile	Thail San	Spring Theil Sen	Monn Kondoll	Mann Kandall	Auto	Interce
reicentile	Slope A/Veen	Slowe	wiaiiii–Keiiuaii	Niaiiii–Keiiuaii	Auto-	interce
10	Stope Z/ Tear	2 501	1	P 0.974		pt
10	0.95	2.501	0.028	0.874	0.331	81.055
20	1.07	4.300	0.027	0.870	-0.100	92.417
25	0.00	0.000	0.000	1.000	-0.097	98.000
50	-1.11	-3.000	-0.037	0.808	0.254	112.333
13	-5.56	-15.000	-0.200	0.108	0.295	125.111
80	-3.30	-15.000	-0.283	0.050	0.205	12/.111
90	-9.47	-25.579	-0.410	0.005	-0.253	150.132
10	17 79	Autumi	n 0.267	0.065	0.097	246 280
10	17.78	48.000	0.207	0.005	0.085	240.389
20	8.90	24.184	0.284	0.080	0.191	2/3.0/4
25	10.00	27.000	0.325	0.037	0.125	275.000
50	4.81	12.981	0.160	0.310	-0.019	293.423
/5	1.//	4./81	0.123	0.428	0.084	323.406
80	0.00	0.000	0.115	0.460	0.106	328.000
90	-1.94	-5.250	-0.050	0.855	0.102	343.944
		Duration of c35 Spring	Discharge			
Percentile Range	Theil–Sen	Theil–Sen	Mann–Kendall	Mann–Kendall	Auto-	Interce
e	Slope $\Delta$ /Year	Slope	τ	Р	correlation	pt
10-90	-3.75	-10.125	-0.033	0.834	0.008	71.500
20-80	-5.00	-13.500	-0.387	0.007	-0.385	31.500
25–75	-3.96	-10.683	-0.352	0.027	-0.363	23.978
		Autum	n			
10-90	-20.95	-56.571	-0.260	0.072	0.071	99.214
20-80	-10.00	-27.000	-0.304	0.040	0.132	57.500
25-75	-10.00	-27.000	-0.355	0.016	0.143	48.000

example, the rate of change for the headwater catchment with 20.5% wetland (c38) was 10 days per decade earlier for the 10th percentile (P < 0.01) of the spring discharge frequency distribution. In comparison, the significant rates of change for the headwater catchment with 1.1% wetland (c35) were primarily in the higher percentiles (i.e. 75th, 80th and 90th), including almost 10 days per decade earlier for the 90th percentile (P < 0.01) (Table IIB, Table IV). Furthermore, the number of days over which the majority of discharge flowed

varied – in the headwater catchment with 20.5% wetland, discharge occurred over longer periods of time, while the duration decreased in the headwater catchment with 1.1% wetland (Table IV). Downstream of the headwater catchments, there were significant shift to earlier timing of discharge and increases in the duration (Table IV).

For the autumn frequency distribution of daily discharge that fell during the period from July to December (Table IV), percentiles generally occurred on a later day

#### CLIMATE WARMING CAUSES HYDROLOGICAL INTENSIFICATION IN FORESTS

Table III. Direction of slopes from Mann-Kendall and Theil-Sen analysis on precipitation data showing how the timing (shifts in the 10th, 20th, 25th, 50th, 75th, 80th and 90th percentiles) and duration (increases or decreases in the range of the 10th to 90th, 20th to 80th and 25th to 75th percentiles) of windows in the spring (January to June) and autumn (July to December) have changed between 1983 and 2009. Right arrows indicate a shift of the window to later in the year; left arrows indicate a shift to earlier in the year; down arrows indicate a narrowing of the window (more peaked frequency distribution); up arrows indicate a widening of the window (more flattened frequency distribution). Green cells indicate significance at P < 0.05, and blue cells indicate significance at P < 0.1

	Precipitation percentiles										
			J	Timing	Duration						
	10	20	25	50	75	80	90	10-90	20-80	25-75	
Spring		$\leftarrow$	$\rightarrow$								
Autumn									$\rightarrow$		

Table IV. Direction of slopes from Mann-Kendall and Theil-Sen analysis on discharge data showing how the timing (shifts in the 10th, 20th, 25th, 50th, 75th, 80th and 90th percentiles) and duration (increases or decreases in the range of the 10th to 90th, 20th to 80th and 25th to 75th percentiles) of windows in the spring (January to June) and autumn (July to December) have changed between 1983 and 2009. (Refer to Table III caption for the explanation of arrows and colours)

	Discharge percentiles																				
	Timing											Duration									
				Spring	5					А	utumn					Spring			Autumn		
	10	20	25	50	75	80	90	10	20	25	50	75	80	90	10- 90	20- 80	25- 75	10- 90	20- 80	25- 75	
c38	←							$\rightarrow$	$\rightarrow$			$\rightarrow$	$\rightarrow$	$\rightarrow$	$\uparrow$						
c35						$\leftarrow$	$\leftarrow$	$\rightarrow$	$\rightarrow$	$\rightarrow$						$\rightarrow$	$\rightarrow$	$\rightarrow$	$\downarrow$	$\downarrow$	
s0									$\rightarrow$										$\rightarrow$	$\downarrow$	
s1	$\leftarrow$								$\rightarrow$					$\rightarrow$					$\rightarrow$	$\downarrow$	
s2														$\rightarrow$							
s3							÷							$\rightarrow$							
s4		$\leftarrow$				←										$\uparrow$					
s5	$\leftarrow$	$\leftarrow$	$\leftarrow$											$\rightarrow$		$\uparrow$					

of the year (i.e. right arrows) indicating a shift to a later start of autumn discharge. Patterns in daily discharge were similar between both headwater catchments, which showed all percentiles trending towards a later day of the year. For example, the rate of change for the headwater catchment with significant wetland was about 5 days later per decade for the 75th percentile (P < 0.01). This and the other significant shifts in c38 were observed during the later percentiles (75th, 80th and 90th). In comparison, the rate of change in autumn discharge for the headwater catchment with no significant wetland was 10 days per decade later for the 25th percentile (P < 0.05). This and the other significant shifts in c35 were observed during the earlier percentiles (10th, 20th and 25th). Furthermore, the number of days over which the majority of discharge flowed became shorter in c35 over the time series (Tables IIB, 4); for example, the range of the 25th-75th percentile decreased by 10 days per decade (P < 0.05). Downstream of the headwater catchments, there was a general autumn pattern of later timing shifts, and

significant decreases were observed in duration in s0 and s1 (Table IV), respectively. In general, changes in the timing and duration of the frequency distribution of precipitation and discharge were weaker in spring and stronger in autumn, and downstream of the headwater catchments, the signals became weaker (Table IV).

#### DISCUSSION

#### Forest condition

Globally, forests are in decline, with widespread reductions in growth attributed to climate change (Allen et al., 2010). While drought and heat stress due to climate change are major factors in suppressing tree growth and inducing mortality, other factors related to climate change (such as increases in the frequency and intensity of fires and insect outbreaks) act synergistically with these stressors to produce forest decline (Dale et al., 2001; Mohan *et al.*, 2009). And while rising  $CO_2$  may help



Figure 7. Conceptual diagram showing how catchment characteristics have changed over three decades based on trends in precipitation, phenology, snow cover, dryness and evaporative-storage indices, and discharge in the c35 catchment of the Turkey Lakes Watershed. There was natural variation in the timing of snow and canopy greening, but only the canopy senescing showed a significant trend towards delayed senescence in the autumn period. In the precipitation and discharge frequency distributions, the arrows indicate significant shifts in the timing of percentiles, and the change in peak size indicates changes in the ranges of the percentiles

offset future heat stress and drought in forests through its effects on photosynthetic heat tolerance and stomatal conductance, experiments show that trees can actually become more susceptible to drought under high CO<sub>2</sub> because of their greater canopy size (Warren et al., 2011; Way 2011a). In northern forests in Canada, declines in tree productivity and increases in tree mortality linked to increasing drought have already been observed (Peng et al., 2011). In this study, we suggest that climate warming is affecting the ecology and hydrology of the northern forests of the temperate forest biome. A conceptual model of our research findings is presented in Figure 7. In this conceptual model, we show that over the past 30 years the forested landscape is becoming warmer and drier but with no remarkable changes in the seasonality of temperature or precipitation.

The growing season is starting to show evidence of lengthening, but the widths of the vernal and autumnal windows that shoulder the growing season are not changing. The hydrological cycle is intensifying, particularly during the summer growing season, where PET/P is increasing during these months, and during the vernal and autumnal windows, where the discharge is becoming smaller and shifting earlier in spring and later in autumn from the growing season. This intensification of hydrological cycling during these windows is likely to have feedbacks that will influence the potential for summer forest growth. The lengthened growing season in this study was mostly driven by delayed leaf senescence, when photosynthetic activity is quite low in this highlatitude region (Bauerle *et al.*, 2012). Therefore, it may have a limited effect on forest growth compared with lengthened growing season driven by early greenup. Richardson *et al.* (2010) also reported that forest productivity was significantly correlated to the greenup timing rather than senescence from the FluxNet data of nine deciduous broadleaf forest, most of which are located at high latitudes (>40°N). In the temperate forests of the Great Lakes region, future forest growth is predicted to be most sensitive to climate changes resulting in a transition from temperature limitation to water limitation (Peters *et al.*, 2013), implying that the trends we document here are likely to lead to decreased forest growth, if they have not already.

### Forest vernal and autumnal windows

Grime (1994) coined the term 'vernal window' in reference to the period of high light irradiance reaching the forest floor under an open forest canopy that creates an 'ecological opportunity' for enhanced growth (Tockner, 2010). The northern limits of the temperate forest biome are getting warmer, and this warming may result in a 'widening' of both the vernal and autumnal windows if temperature is a more important driver on the timing of snowpack dynamics than on canopy phenology. The notion of climate warming triggering a widening of this vernal window of ecological opportunities assumes that the ecosystem is temperature-limited, which is a reasonable assumption in higher latitude forests where cold temperatures generally delimit the growing season and water is not limiting. However, we found that a mean temperature increase of  $0.6 \,^{\circ}$ C per decade has resulted in no evidence of a widening of either the vernal or autumnal windows, although we did find this warming has led to a slightly longer growing season, similar to other studies (e.g. Menzel *et al.*, 2006; Richardson *et al.*, 2006; Jeganathan *et al.*, 2014).

# Intensification of hydrological cycling during these windows

Previous studies in the temperate forest biome have shown declines in surface flows over recent years. For example, in the TLW, Mengistu et al. (2013) observed climate warming in TLW of 0.7 °C per decade from 1981 to 2008, with a combination of signals that were stationary  $(55\% = 0.37 \,^{\circ}\text{C})$  and non-stationary (45% = 0.30 °C related to the Atlantic Multidecadal Oscillation) [note that the different time series (1983-2009) used in this study resulted in a slightly lower rate of warming of 0.6 °C per decade]. The rate of decline in water yield was highest (-14.6 mm/year) in the catchment with lower water-loading and lower waterstorage capacities (c35) ( $r^2 = 0.52$ , P < 0.001) and nearly as high (-13.0 mm/vear) in the catchment with similar water loading but higher water-storage capacity (c38)  $(r^2=0.44, P<0.001)$ . In this study, we found that this decline is not uniform over seasons. Based on Budyko's (1974) indices, the 'dryness index' (PET/P) that indicates whether the system is becoming drier or wetter and the 'evaporative-storage index' [(P - Q) / P] that indicates whether the system is partitioning more water to evapotranspiration or discharge, we found evidence that (1) climate warming is leading to intensification of the hydrological cycle (indicated in the TLW by decreases in P and Q) or possibly changes in storage and (2) climate effects are asymmetric across the seasons, such that even though water fluxes are declining, autumn, winter and spring months are relatively wetter compared with the summer months. However, discharge during the vernal and autumnal windows is also shifting - becoming earlier in spring and later in autumn - and the frequency distributions are becoming more peaked in the autumn, so that the period of no to low flows may be increasing in the shoulder seasons. This intensification of the hydrological cycle may lead to a transformation of the traditional discharge hydrographs to smaller and potentially flashier (i.e. more peaked) discharges that occur earlier in spring and later in autumn.

#### Hydrological feedbacks on forest growth

One might assume that climate warming would equally alter both snowpack and canopy dynamics. However, our

work indicates that forest hydrology appears to respond differently to temperature than canopy phenology. This difference in sensitivity to climate warming has important implications for forest ecosystems, because the greater temperature sensitivity of the hydrological cycle may uncouple spring hydrological dynamics from the physiological demands that set the trajectory for summer forest growth.

In other northern forests, declines in productivity and increases in mortality have been attributed to midsummer drying (Peng et al., 2011). Specifically, earlier snowmelts have been linked to decreased productivity in the summers, because of lower soil water availability (Angert et al., 2005; Hu et al., 2010; Buermann et al., 2013). Our data are consistent with this result. We observed not only declines in annual discharge but also changes in the monthly distribution of atmospheric drying potential (PET/P) with trends of higher PET/P in summer months and lower PET/P for all other months, and changes in the atmospheric drying potential have been found to be a more important driver of forest decline than temperature per se (Eamus et al. (2013). As water is often the main driver of interannual variability in forest productivity (e.g. Brummer et al., 2012), an intensification of hydrological cycling driven by climate warming that leads to earlier spring snowmelts (i.e. earlier spring discharge and presumably less soil moisture to fuel the growing season) will likely lead to a reduction in forest productivity, and therefore may be an important factor contributing to the broad-scale declines in productivity in northern forests (Buermann et al., 2013). Sugar maple dominated forests are expected to migrate approximately 1000 km north by 2071 to 2100 (McKenney et al., 2007). Under this scenario, the Turkey Lakes Watershed would be situated in the middle of the forest's range, rather than the northern edge.

Furthermore, we observed delayed and more concentrated autumn discharge. It is possible that this delayed discharge is due to larger soil water deficits towards the late growing season, such that once the autumn storms arrive, there is a time lag for water tables to fill prior to any significant increase in discharge (Mengistu et al., 2014). The concept of ecological opportunities existing within the vernal and autumnal windows needs to recognize the hydrological underpinnings of these windows. There are fundamental hydrological changes occurring within the vernal and autumnal windows. Those species that are able to respond to the new 'niches' created by warmer temperatures, especially in the vernal or autumnal windows, could develop a competitive advantage over other species. Therefore, the spring and autumn 'triggers' coupled with summer drying may speed up this positive feedback leading to faster declines in growth of the current dominant tree species.

# Downstream effects

Alterations of forest hydrological flows may impact associated biogeochemical flows (the movement of nutrients), which are likely to have significant consequences for primary productivity of the terrestrial system and the primary productivity of downstream aquatic ecosystems. While we observed no change in the terrestrial vernal or autumnal windows (perhaps because of photoperiodic limitations of the dominant tree species) (Bauerle et al., 2012), there is evidence from other studies that supports a change in the *aquatic* vernal and autumnal windows (e.g. Winter et al., 2011), likely because of (1) alleviation of temperature limitations in surface waters and (2) more nutrient supplies from forested areas that can no longer take up the water and nutrients that are available during the vernal and autumnal windows. Fundamental changes are taking place in the primary productivity of aquatic ecosystems on forested landscapes. For example, algal blooms have significantly increased in nutrient-poor oligotrophic lakes within the temperate forest biome of Canada (Winter et al., 2011) and the USA (Carey et al., 2008) over the same time period as our climate-warming trajectory. These algal blooms both initiate and persist later in the autumn season (from September to November), corresponding to a delayed onset in timing and magnitude of autumnal storms. This increase in algal bloom reports is primarily comprised of potentially toxin-producing cyanobacteria (Winter et al., 2011). If autumn storms are shifting to the post-canopy leaf fall period, this will have significant consequences for nutrient loading to the warmer lakes. The increased temperatures prolong the period of opportunity for algal growth, and the shift in timing of autumn discharge that now flows through freshly fallen leaf litter delivering a fresh supply of nutrients to aquatic ecosystems, may give cyanobacteria a competitive advantage (Creed et al., 2013) that leads to a greater number of cyanobacteria algal blooms occurring later in the year (Winter et al., 2011).

#### Future work

We conducted this study on the northern limit of the temperate forest of North America. The rates of climate warming, combined with changes in precipitation and discharge patterns, are likely to vary throughout the temperate forest biome. Future research activities will apply a similar approach and explore the effects of an 'intensification' of hydrological cycling on different tree species located in warmer, more southern temperate forests. Furthermore, future research activities will explore the effects of changes in the timing and magnitude of hydrological and associated biogeochemical discharge on the productivity of the coupled terrestrialaquatic ecosystem.

# CONCLUSION

A 0.6 °C per decade climate warming over the past 27 years has occurred in a northern forest of the temperate biome of eastern North America. There were no strong monthly trends in temperature, but the effects of this overall warming had a seasonality characterized by a relatively drier growing season, and a relatively wetter dormant season. There was evidence that the ecological opportunity created by vernal and autumnal windows (which are important for setting the trajectory of forest growth) may be starting to change, as a modest extension of the length of the growing season was observed in recent years. There was also evidence for an alteration of hydrological flows, specifically, climate warming lead to an intensification of the hydrological cycle, with a greater atmospheric drying potential during the summer growing season, and smaller, more concentrated (autumn only) discharges occurring earlier in the spring and later in the autumn. The shift in the timing and duration of hydrological flows away from the growing season, coupled with greater partitioning of water to evapotranspiration than discharge during the growing season, may be an important factor contributing to recent declines in the productivity of northern forests.

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